

PATENT

I hereby certify that on the date specified below, this correspondence is being deposited with the United States Postal Service as first-class mail in an envelope addressed to Box Missing Parts, Assistant Commissioner for Patents, Washington, DC 20231.

January 15, 1999
Date

Susan C. Clingerman
Susan C. Clingerman

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : John Jackson, Xichen Zhang, and Helen Burt
Application No. : 09/181,582
Filed : October 28, 1998
For : POLYMERIC SYSTEMS FOR DRUG DELIVERY AND USES
THEREOF

Docket No. : 110129.416
Date : January 15, 1999

Box Missing Parts
Assistant Commissioner for Patents
Washington, DC 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

Sir:

In response to the Notice to File Missing Parts dated November 20, 1998, please find enclosed a Declaration and Power of Attorney, Form PTO-1533, and two (2) Verified Statement(s) Claiming Small Entity Status for the above-identified application.

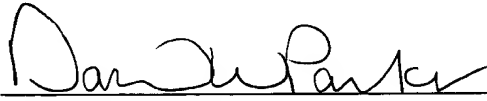
Also enclosed is a check in the amount of \$940.00 for the requisite fees. The fees have been calculated in accordance with applicants' small entity status.

The fees have been calculated as follows:

| | | |
|---------------------------------|----|-----|
| Basic Fee | \$ | 380 |
| Total Claims (62, 42 extra) | | 378 |
| Independent Claims (6, 3 extra) | | 117 |
| Missing Parts Surcharge | | 65 |
| Total | \$ | 940 |

The Assistant Commissioner is hereby authorized to charge any additional filing fees or to credit any overpayment to Deposit Account No. 19-1090. A duplicate copy of this response is enclosed.

Respectfully submitted,
SEED and BERRY LLP



David W. Parker
Registration No. 37,414

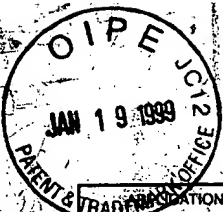
DWP:scc

Enclosures:

- Postcard
- Check
- Copy of this Response
- Declaration and Power of Attorney
- Copy of Form PTO-1533
- Two (2) Verified Statement(s) Claiming Small Entity Status

6300 Columbia Center
701 Fifth Avenue
Seattle, Washington 98104-7092
(206) 622-4900
Fax: (206) 682-6031

dwp\4984



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

FCAR

| | | | |
|--------------------|---------------------|-----------------------|---------------------------|
| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NO./TITLE |
| 097181.581 | 10/28/98 | DAVID W. PARKER | 1101294161 |

DAVID W. PARKER
SEED & BERRY
6300 COLUMBIA CENTER
700 FIFTH AVENUE
SEATTLE WA 98104-7092

0232/1120

NOT ASSIGNED

1615

DATE MAILED:

11/10/98

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☐ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 2090

☒ 1. The statutory basic filing fee is:

- ☐ missing.
- ☒ insufficient.

Applicant must submit \$ 190 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 1170, including any multiple dependent claim fees, are required.

\$ 246 for 3 independent claims over 3.

\$ 924 for 42 dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☐ is missing or unexecuted.
- ☐ does not cover the newly submitted items.
- ☐ does not identify the application to which it applies.
- ☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Theresa [Signature]
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

01/25/1999 RTSGAYE 00000020 191090 097181582
65.00
395.00
462.00
18.00
105.00 CH
01 FC:205
02 FC:201
03 FC:403
04 FC:202

BEST AVAILABLE COPY